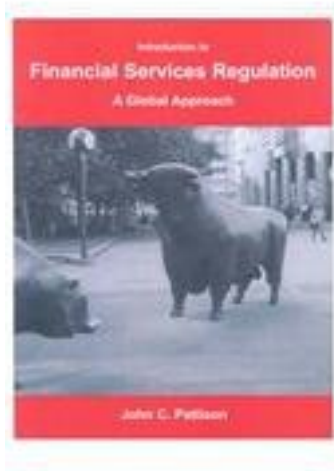


Financial Services Regulation



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Dr. John Pattison is an authority on global compliance matters from both private practice as well as theoretical modeling. An economist that began his career in academia, he is currently the head of the Compliance Department of Canadian bank-CIBC World Markets. The failures of Enron, WorldCom and Tyco in the US have prompted a radical departure from the "business as usual" culture in western countries. The additional fines that have been imposed on some of the largest investment banks in the world for publishing and distributing dishonest research on stocks that they were underwriting raise the prospects for a more diligent compliance regime within large corporate enterprises. This book has become a must read for everyone interested in these contemporary issues of concern that spans both managers and policy makers.

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